



Financial
Investments
Associates, LLC

Sound strategies for client-sensitive investment alternatives

Part 2B of Form ADV - Brochure Supplement

Brochure Supplement
Dated: January 1, 2017

This brochure supplement provides information about Francisco Gonzalez-Soldevilla that supplements the Financial Investments Associates LLC brochure. You should have received a copy of that brochure. Please contact Francisco Gonzalez-Soldevilla, Managing Director if you did not receive Financial Investments Associates LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Francisco Gonzalez-Soldevilla is available on the SEC's website at www.adviserinfo.sec.gov.

Francisco Gonzalez-Soldevilla

9221 SW 60 Street
Miami, FL 33173

Financial Investments Associates, LLC
9221 SW 60 Street
Miami, FL 33173

www.fivas.com

(305) 595-9928

January 1, 2017

This brochure supplement provides information about Francisco Gonzalez-Soldevilla that supplements the Financial Investments Associates, LLC brochure. You should have received a copy of that brochure. Please contact us at fgs@fivas.com or (305) 595-9928 if you did not receive Financial Investments Associates, LLC's brochure or if you have any questions about the contents of this supplement.

Item 2 - Educational Background and Business Experience

Name of Supervised Person: Francisco Gonzalez-Soldevilla
Age or Year of Birth: 1947

Formal Education after High School:

University of Miami (B.A. 1970)
University of Miami Graduate Program in French 1970-1973
Florida International University (M.A. 1996)

Business Experience for the preceding five years (Include an identification of the specific positions held):

Financial Investments Associates, LLC since August, 2009 – Founder and Managing Director
VectorGlobal WMG, (2008-2009) Branch Office Manager
Beta Capital Management, LP, (2002 – 2008) Principal, Senior Vice-President & Sales Manager
Prudential Securities, Incorporated, (1997 – 2002) Sales Manager & Financial Advisor

Item 3 – Disciplinary Information

If there are legal or disciplinary events material to a client's or prospective client's evaluation of the supervised person, disclose all material facts regarding those events.

Items 3.A, 3.B, 3.C, and 3.D below list specific legal and disciplinary events presumed to be material for this Item. If the supervised person has been involved in one of these events, you must disclose it under this Item for ten years following the date of the event, unless (1) the event was resolved in the supervised person's favor, or was reversed, suspended or vacated, or (2) you have rebutted the presumption of materiality to determine that the event is not material (see Note below). For purposes of calculating this ten-year period, the "date" of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments or decrees lapsed.

Items 3.A, 3.B, 3.C, and 3.D do not contain an exclusive list of material disciplinary events. If the supervised person has been involved in a legal or disciplinary event that is not listed in Items 3.A, 3.B, 3.C, or 3.D but is material to a client's or prospective client's evaluation of the supervised person's integrity, you must disclose the event. Similarly, even if more than ten years have passed since the date of the event, you must disclose the event if it is so serious that it remains currently material to a client's or prospective client's evaluation.

A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person

1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;

2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;

3. was found to have been involved in a violation of an investment-related statute or regulation; or

4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging

Not Applicable

B. An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person

1. was found to have caused an investment-related business to lose its authorization to do business; or

2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority

a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;

b) barring or suspending the supervised person's association with an investment-related business;

c) otherwise significantly limiting the supervised person's investment-related activities; or

d) imposing a civil money penalty of more than \$2,500 on the supervised person.

Not Applicable

C. A self-regulatory organization (SRO) proceeding in which the supervised person

1. was found to have caused an investment-related business to lose its authorization to do business; or

2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

Not Applicable

D. Any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct. If the supervised person resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a proceeding (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

Not Applicable

Item 4. Other business activities

A. If the supervised person is actively engaged in any investment-related business or occupation, including if the supervised person is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

Not Applicable

1. If a relationship between the advisory business and the supervised person's other financial industry activities creates a material conflict of interest with clients, describe the nature of the conflict and generally how you address it.

Francisco Gonzalez-Soldevilla is a duly licensed insurance agent and has been in the insurance business since 1972. Multiple insurance companies contracted pay him commissions for the sales he generates. These commissions flow directly to him, not this firm.

These sales are completely separate and distinct from the work done at Financial Investments Associates, LLC. If in the course of discussing financial goals and providing investment information an insurance need is uncovered, the client is advised of a potential conflict of interest and further alerted to the availability of pertinent insurance products elsewhere, away from this firm. Firm clients are advised insurance products discussed are not exclusive to the agent.

Any sales commissions generated through the sale of insurance products are revenues earned in accordance with distribution agreements between the insurance companies and Mr. Gonzalez-Soldevilla as an agent, completely separate from any fee arrangements available at Financial Investments Associates, LLC and are neither credited nor debited from potential fees earned for our firm's work.

2. If the supervised person receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, disclose this fact. If this compensation is not cash, explain what type of compensation the supervised person receives. Explain that this practice gives the supervised person an incentive to recommend investment products based on the compensation received, rather than on the client's needs.

Not Applicable

B. If the supervised person is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of the supervised person's income or involve a substantial amount of the supervised person's time, disclose this fact and describe the nature of that business. If the other business activities represent less than 10 percent of the supervised person's time and income, you may presume that they are not substantial.

Francisco Gonzalez-Soldevilla on occasion teaches foreign languages at one of the area institutions, most recently Miami-Dade College, where he accepts a couple of assignments as Adjunct Instructor of foreign languages from time to time. This academic work is generally scheduled outside normal business hours, mainly evening and weekend classes, mostly for personal satisfaction.

Item 5. Additional Compensation

If someone who is not a client provides an economic benefit to the supervised person for providing advisory services, generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include the supervised person's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

None

Item 6. Supervision

Explain how you supervise the supervised person, including how you monitor the advice the supervised person provides to clients. Provide the name, title and telephone number of the person responsible for supervising the supervised person's advisory activities on behalf of your firm.

Mr. Gonzalez-Soldevilla is the firm's founder, managing director, and sole employee. He is the only person in charge of ensuring full compliance with state regulations and industry expectations. As such, he's fully aware of the requirements of working as a fiduciary and within the rules and regulations of the industry and the regulators.

He steers this firm and his professional activities away from any conflicts of interest. He is more focused on imparting financial literacy among his clients and prospects than on growing a large asset base. Not being a young man with personal ambitions to conquer the world any more, he is keenly aware of negative outcomes for the violation of any of the industry's and the state's financial regulations. He is vigilant, yet accessible.

Mr. Gonzalez-Soldevilla is self-supervised. He may be reached at 305-595-9928.

Item 7. Requirements for State Registered Advisers

Mr. Francisco Gonzalez-Soldevilla, Managing Director, must comply with the State of Florida's requirements. He's responsible for the following requirements.

- Setting up our firm's account on the Investment Adviser Registration Depository (IARD) system
- Acting as liaison with the Florida Office of Financial Regulation, Division of Securities
- Facilitating the payment of all Florida state registration fees
- Preparing and filing Form ADV Part 1 via the IARD system
- Preparing and filing Form ADV Part II via the IARD system
- Filing financial statements in accordance with the Florida Administrative Code
- Filing proof of sufficient net capital
- Drafting and filing investment advisory and/or financial planning agreements
- Drafting a compliance manual in conformity with the Florida Administrative Code
- Drafting of the privacy notice
- Filing Form U4 for investment adviser representatives
- Facilitating the filing of the Florida Fingerprint Card

Financial Requirements and Capital Requirements

Financial statements for our small firm may be unaudited, which are defined as those financial statements prepared in accordance with United States generally accepted accounting principles. Mr. Gonzalez-Soldevilla signs the required oaths or affirmations that financial statements or reports are true and correct to his best knowledge, information, and belief; such oaths or affirmations are made before a person authorized to administer them, as he is the sole person duly authorized to represent this firm.